



11/ Sector/14
Box Seq

PATENT
Attorney Docket No.: DIVER1180-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robertson, et al.

Application No.: 09/903,410

Filed: July 10, 2001

For: ENZYMES HAVING ESTERASE
ACTIVITY AND METHODS OF
USE THEREOF

) Group Art Unit: 1652

)

) Examiner: Unassigned

)

)

CERTIFICATION UNDER 37 CFR § 1.8

)

) I hereby certify that the documents referred to as enclosed herein
) are being deposited with the United States Postal Service as first
) class mail on this date 12/10/01, in an
) envelope addressed to: Commissioner for Patents, Washington,
) D.C. 20231

)

)

)

)

Stephanie Sharrett
(Name of person mailing paper)

Stephanie Sharrett
Signature Date

Box MISSING PARTS
Commissioner of Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed August 8, 2001,
enclosed are:

1. A copy of the Notice to File Missing Parts of Application (2 pages);
2. An executed Declaration for Patent Application (30 pages);
3. A Power of Attorney by Assignee (1 page);
4. Petition for an Extension of Time (2 pages);
5. Statement under 37 CFR §§ 1.821(f) and (g) (1 page);
6. Verified Statement Under 37 CFR 1.821(f) (1 page);
7. Sequence Listing on Diskette and Paper copy (21 pages);
8. Petition Under CFR 1.47(a) When An Inventor is Unavailable (3 pages);

In re Application of:
Robertson, et al.
Application No.: 09/903,410
Filed: July 10, 2001
Page 2

PATENT
Attorney Docket No.: DIVER1180-2

9. Declaration of Lisa Haile Under CFR 1.47(a) (3 pages);
10. Exhibits A, B, C, D, E, and F (25 pages); and
11. Check in the amount of \$2309.00;


The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	92-20	=	72	X	\$09	\$	=	\$648.00	\$.00
Independent Claims	21-3	=	18	X	\$42	\$	=	\$756.00	\$.00
Multiple Dependent Claims Presented: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					\$130	\$260		\$140.00	0
					BASIC FEE			\$370.00	\$760.00
					TOTAL FEE			\$1914.00	\$760.00

Enclosed is a check in the amount of \$2309.00 to cover the surcharge fee of \$65.00, \$370.00 for the filing fee, \$648.00 for the extra claim fee, \$756.00 for the independent claim fee, \$140.00 for the multiple dependent claim fee, \$200.00 for the extension of time fee, and \$130.00 for the petition under 37 CFR 1.47(a). The Commissioner is authorized to charge any additional fees or credit any overpayment, to Deposit Account No. 50-1355.

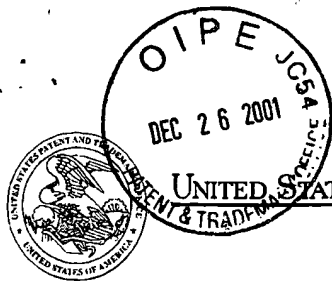
Respectfully submitted,

Date: 12/10/01



Lisa A. Haile, Ph.D.
Registration No. 38,347
Telephone: (858) 677-1456
Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1100
San Diego, California 92121-2133
USPTO Customer No. 28213



RECEIVED

AUG 13 2001

Page 1 of 2

GRAYCARYGT PATENT

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/903,410	07/10/2001	Dan E. Robertson	DIVER1180-2

CONFIRMATION NO. 8980

28213

GARY CARY WARE & FRIENDENRICH LLP

4365 EXECUTIVE DRIVE 12/28/2001 MICHAM1 00000028 09903410

SUITE 1600

SAN DIEGO, CA 92121-2182

01 FC:201

02 FC:203

03 FC:202

04 FC:204

05 FC:205

06 FC:206

370.00 OP

648.00 OP

756.00 OP

140.00 OP

65.00 OP

130.00 OP

FORMALITIES LETTER



OC000000006398731

Date Mailed: 08/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/21/2002 HPRASASD 00000009 501355 09903410

FILED UNDER 37 CFR 1.53(b)

01 FC:203 198.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3402.
 - \$1692 for 94 total claims over 20.
 - \$1440 for 18 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 4242.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY